

1 **Malaria**

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15

16 **Abstract**

17 Malaria is caused in humans by five species of single-cell, eukaryotic *Plasmodium*  
18 parasites (mainly *Plasmodium falciparum* and *Plasmodium vivax*) that are transmitted by the  
19 bite of *Anopheles* mosquitoes. Malaria remains one of the most serious infectious diseases,  
20 globally threatening nearly half of the world population and leading to an estimated half a million  
21 deaths in 2015, predominantly among children in Africa. Malaria is managed through a  
22 combination of vector control approaches (such as insecticide spraying and the use of  
23 insecticide-treated bed nets) and drugs for both treatment and prevention. Wide-spread use of  
24 artemisinin-based combination therapies has contributed to substantial declines in malaria-  
25 related deaths; however, the emergence of drug resistance threatens to reverse this progress.  
26 Advances in the understanding of the underlying molecular basis of pathogenesis have fuelled  
27 the development of new diagnostics, drugs and insecticides. Several new combination therapies  
28 are in clinical development that have efficacy against drug-resistant parasites and the potential  
29 to be used in single dose regimens to improve compliance. This ambitious programme to  
30 eliminate malaria also includes new approaches that could yield malaria vaccines or novel  
31 vector control strategies. However, despite these achievements, a well-coordinated, global effort  
32 on multiple fronts is needed if malaria elimination is to be achieved.

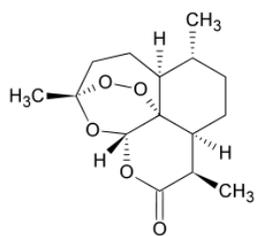
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## 34 [H1] Introduction

35

36 Malaria has had a profound effect on human lives for thousands of years and remains one of the most  
37 one of the most serious, life-threatening infectious diseases<sup>1-3</sup>. The disease is caused by protozoan  
38 protozoan pathogens of the *Plasmodium* species; *Plasmodium falciparum* (*P. falciparum*) and  
39 *Plasmodium vivax* (*P. vivax*), for which humans are the exclusive mammalian hosts, are the most  
40 most common and are responsible for the largest public health burden. Malaria is transmitted by the bite  
41 the bite of *Plasmodium*-infected female mosquitoes of the *Anopheles* genus<sup>1-3</sup>. During a blood meal,  
42 meal, infected mosquitoes inject, along with their anticoagulating saliva, sporozoites, which are the  
43 the infective, motile spore-like stage of *Plasmodium*. Sporozoites journey through the skin to the  
44 vasculature and into hepatocytes in the liver (Figure 1

45 Youyou Tu was recognized by the 2015 Nobel Prize committee for her contribution to  
46 medicine for the discovery of artemisinin, by retrieving and following instructions from ancient  
47 Chinese texts<sup>250</sup>. Thanks to the ability of artemisinin to rapidly reduce parasitemia and fever,  
48 the effect that artemisinin and its derivatives had on the management of malaria cannot be  
49 overstated: since their introduction in the 1970s and subsequent wider implementation, which  
50 was possible particularly owing to the work of Prof. Nicholas White and colleagues<sup>251-254</sup>,  
51 millions of lives were saved. These drugs appear to be activated by heme derived iron and their  
52 toxicity is probably mediated through the formation of reactive oxidative radicals<sup>43</sup>. Data suggest  
53 that they interfere with phosphatidylinositol-3-phosphate (PI3P) metabolism (which is thought to  
54 be involved in the trafficking of haemoglobin to the digestive vacuole<sup>255</sup>) and provide possible  
55 mechanistic insight into the nature of clinically observed artemisinin resistance<sup>256</sup>.



56

Chemical structure of artemisinin

57

58

59 **Figure 1).** In the hepatocyte, a single sporozoite can generate tens of thousands of  
60 merozoites (the stage that results from multiple asexual fissions (schizogony) of a sporozoite  
61 within the body of the host), which are released from the hepatocytes into the blood stream  
62 where they enter red blood cells to replicate (erythrocytic schizogony). A fraction of merozoites  
63 (sexually committed) also differentiate and mature into male and female gametocytes, which is  
64 the stage that infects the mosquito host when it takes a blood meal <sup>4,5</sup>. The onset of clinical  
65 symptoms generally occurs 7-10 days after the initial mosquito bite. *P. vivax* and *Plasmodium*  
66 *ovale* (*P. ovale*) also have dormant forms, called hypnozoites, which can emerge from the liver  
67 years after the initial inoculation<sup>6</sup>, leading to relapse if not treated properly.

68 The consequences of *Plasmodium* infection vary in severity depending on the species  
69 and on host factors, including the level of host immunity, which is linked to the past extent of  
70 parasite exposure <sup>7,8</sup>. Malaria is usually classified as asymptomatic, uncomplicated or severe  
71 (complicated) <sup>9</sup>. (Box 1) Typical initial symptoms are low-grade fever, shaking chills, muscle  
72 aches and in children digestive symptoms. These symptoms can present suddenly (paroxysms),  
73 and then progress to drenching sweats, high fever and exhaustion. Malaria paroxysmal  
74 symptoms are manifest after haemolysis of *Plasmodium*-invaded red blood cells. Severe  
75 malaria is often fatal and presents with severe anaemia, and various manifestations of multi-  
76 organ damage, which can include cerebral malaria<sup>8</sup> (Box 1). Severe malaria complications are  
77 due to microvascular obstruction caused by the presence of red blood cell stage parasites in  
78 capillaries<sup>8,10,11</sup>. This review will focus on our understanding of malaria pathology in the context  
79 of parasite and vector biology, progress in diagnostics and new treatments (drugs and  
80 vaccines), chemoprotection and chemoprevention.

81

82 **[H1] Epidemiology**

## 83 [H2] Vector

84 Human malaria parasites are transmitted exclusively by about 40 species of the  
85 mosquito genus *Anopheles*<sup>12</sup>. During *Anopheles* mating, males transfer high levels of the  
86 steroid hormone 20-hydroxyecdysone to the female, and the presence of this hormone has  
87 been associated with favourable conditions for *Plasmodium* development<sup>13</sup>. Malaria-competent  
88 *Anopheles* species are abundant and distributed all over the globe, including the Arctic.  
89 However, the efficacy of malaria transmission depends on the vector species and, therefore,  
90 varies considerably worldwide; for example, in tropical Africa *A. gambiae* is a major and highly  
91 efficient vector<sup>14</sup>. The first WHO Global Malaria Eradication Programme (1955-1972) involved,  
92 besides chloroquine-based treatments, large-scale insecticide campaigns using  
93 dichlorodiphenyltrichloroethane (DDT)<sup>15</sup>. This strategy was quite effective against *P. falciparum*;  
94 although the mosquitoes gradually repopulated DDT-treated areas (because they developed  
95 resistance to the insecticide, and the use of DDT itself waned owing to its costs and increasing  
96 environmental concerns), these areas have often remained malaria-free, sometimes until  
97 present. More-selective vector-control approaches, such as the use of insecticide-treated bed  
98 nets and indoor residual spraying, have eliminated malaria from several areas (see Prevention).  
99 However, mosquito resistance to insecticides is a growing concern. Of the 78 countries that  
100 monitor mosquito resistance to insecticides, 60 have reported resistance to one or more  
101 insecticides since 2010(Ref. <sup>16</sup>).

## 102 [H2] Parasite

103 *Plasmodium* species are single-celled eukaryotic organisms<sup>17-19</sup> that belong to the phylum Apicomplexa,  
104 which is named for the apical complex that is involved in host cell invasion. A discussion of the parasite  
105 genome and the genetic approaches used to study parasite biology is provided in Box 2. Of the  
106 five human infective *Plasmodium* species, *P. falciparum* causes the bulk of malaria-associated

107 morbidity and mortality in sub-Saharan Africa, which peaked in the late nineties at over a million  
108 deaths annually in the continent<sup>20</sup> (Figure 2). *P. falciparum* is associated with severe malaria  
109 and complications in pregnancy (Box 3); most malaria-related deaths are associated with this  
110 species, which kills about 1,200 African children aged under five each day<sup>21</sup>. However, *P.*  
111 *falciparum* is also found in malarious tropical areas around the world. *P. vivax* is found in  
112 malarious areas around the world, and generally accounts for the majority of malaria cases in  
113 Central and South America and in temperate climates. This distribution can be explained by the  
114 fact that *P. vivax* can travel across climatically unfavourable regions and can stay dormant in  
115 hypnozoite form in its human host's liver for many years. Furthermore, many Africans are  
116 negative for the Duffy antigen on the surface of red blood cells, and this genotype provides  
117 protection from *P. vivax* malaria, making the fixation and the penetration of *P. vivax* in the red  
118 blood cell more difficult.<sup>22</sup> However, some cases of *P. vivax* transmission to Duffy negative  
119 individuals have been reported suggesting alternative mechanisms of invasion might be present  
120 in some strains and this might portend the escalation of *P. vivax* malaria to Africa.<sup>23,24</sup> *P. ovale* is  
121 also found in Africa and Asia, but is especially prevalent in West Africa. Two sympatric species  
122 exist, *P.o. curtisi* and *P.o. wallikeri*<sup>25</sup>. *Plasmodium malariae* (*P. malariae*), which can be found  
123 worldwide but is especially prevalent in West Africa, causes the mildest infections, although it  
124 has been associated with splenomegaly or renal damage upon chronic infection. *Plasmodium*  
125 *knowlesi*, initially considered a parasite of non-human primates, can not only cause malaria in  
126 humans, but also lead to severe and even fatal malaria complications<sup>26,27</sup>. The reasons for the  
127 emergence of *Plasmodium knowlesi* in humans are not yet fully understood but are possibly  
128 linked to land use changes that have brought humans in close contact with *P. knowlesi* infected  
129 mosquitos<sup>28</sup>. Regardless the possible emergence of a form of malaria as a zoonosis poses  
130 obvious complications for elimination. Additionally, coinfections between *P. falciparum* and *P.*  
131 *vivax* have been well documented and have been reported to occur in up to 10-30% of patients  
132 living in areas where both parasites are prevalent<sup>29,30</sup>. Mixed infections can also include other

133 species such as *P. ovale* and *P. malariae*, and newer diagnostic methods are being developed  
134 that will allow better assessment of the frequency and distribution of these types of coinfections  
135 (e.g. <sup>31</sup>).

## 136 [H2] Disease

137 Malaria remains a major burden to people residing in resource-limited areas in Africa,  
138 Asia and Central and South America (Figure 2). An estimated 214 million cases of malaria  
139 occurred in 2015 (Ref. <sup>16</sup>). Africa bears the brunt of the burden, with 88% of the cases, followed  
140 by South East Asia (10%), the eastern Mediterranean region (2%), and Central and South  
141 America (<1%). Malaria continues to kill over three times as many people as all armed conflicts;  
142 in 2015, there were an estimated 438,000 (Ref. <sup>16</sup>) – 631,000 (Ref. <sup>32</sup>) deaths resulting from  
143 malaria, compared with an estimated 167,000 deaths due to armed conflicts <sup>33,34</sup>. In areas of  
144 continuous transmission of malaria, children <5 years and the foetuses of infected pregnant  
145 women experience the most morbidity and mortality from the disease. Children older than six  
146 months are particularly susceptible because they have lost their maternal antibodies but have  
147 not yet developed protective immunity. In fact, adults and children over 5 years of age who live  
148 in regions of year round *P. falciparum* transmission develop a partial protective immunity due to  
149 repeated exposure to the parasite. There is evidence that immunity against *P. vivax* is acquired  
150 more quickly<sup>35</sup>. Individuals with low protective immunity against *P. falciparum* are particularly  
151 vulnerable to severe malaria. Severe malaria occurs in only 1% of infections in African children  
152 and is more-common in patients who lack strong immune protection (for example, individuals  
153 who live in low-transmission settings, children <5 years of age and naïve hosts). Severe malaria  
154 is deadly in 10% of children and 20% of adults<sup>7</sup>. Pregnant women are more susceptible to  
155 *Plasmodium* infection because the placenta itself selects for the emergence of parasites that  
156 express receptors that recognize the placental vasculature; these receptors are antigens to  
157 which pregnant women have not yet become partially immune <sup>7</sup> (Box 3). This vulnerability

158 increases the risk of miscarriage, and parasitemia in the placenta can have adverse effects on  
159 the foetus<sup>36-38</sup> (Box 3).

160 Co-infection of *Plasmodium* with other pathogens is common, including HIV,  
161 *Mycobacterium tuberculosis* and helminths. HIV-infected adults are at increased risk of severe  
162 malaria and death<sup>39</sup>. The overall prevalence of helminth infection is very high (>50% of the  
163 population) in malaria-endemic regions and was associated with increased malaria  
164 parasitaemia<sup>40</sup>. Surprisingly, naturally occurring iron deficiency and anaemia protect from  
165 severe malaria, an unexpected finding<sup>41</sup>, since numerous clinical studies aimed at fortifying  
166 children and preventing anaemia by distributing iron supplements<sup>42</sup>.

167 From 2000 to 2015, the incidence of malaria fell by 37% and malaria deaths by 60%  
168 globally<sup>16</sup>. The WHO attributes much of this reduction of malaria-associated morbidity and  
169 mortality to the scale-up of three interventions: insecticide-treated bed nets (69% of the  
170 reduction), artemisinin-based combination therapies (ACTs; 21%) and indoor-residual  
171 insecticide spraying (10%)<sup>16</sup> (see Prevention). Until ACT was introduced, progress on malaria  
172 control in most malarious countries was threatened or reversed by the nearly world-wide  
173 emergence of chloroquine-resistant and sulfadoxine-pyrimethamine -resistant *P. falciparum*  
174 strains, and more recently, of other resistant *Plasmodium* species. ACT has become the  
175 antimalarial medicine of choice in most malarious areas, demonstrating rapid parasite  
176 clearance, superior efficacy (compared with other clinically approved drugs), and >98% cure  
177 rates (typically defined as the percentage of patients who remain malaria-free for 28 days; re-  
178 infection events do not count as a recurrence). ACTs achieve these results even in strains  
179 resistant to older antimalarials — effectively turning the tide against antimalarial drug-resistance.  
180 However, the emergence of artemisinin-resistant strains in South East Asia threatens the  
181 usefulness of ACTs<sup>43-46</sup> (see Drug resistance).

182

183 **[H1] Mechanisms/pathophysiology**

184 **[H2] Red blood cell stage**

185 As previously mentioned, the red blood cell stage of *Plasmodium* infection is the cause  
186 of symptomatic malaria, as red blood cells are the site of abundant parasite replication.

187 **[H3] Invasion.** *Plasmodium* parasites gain entrance to the red blood cell through  
188 specific ligand-receptor interactions mediated by proteins on the surface of the parasite that  
189 interact with receptors on the host erythrocyte (mature red blood cell) or reticulocyte (immature  
190 red blood cell) (Figure 3)<sup>47</sup>. Whereas *P. falciparum* can invade and replicate in erythrocytes and  
191 reticulocytes, *P. vivax* and other species predominantly invade reticulocytes, which are less  
192 abundant than erythrocytes<sup>48</sup>. Most of the parasite erythrocyte or reticulocyte binding proteins  
193 that have been associated with invasion are redundant or are expressed as a family of variant  
194 forms; however, for *P. falciparum* two essential red blood cell receptors (basigin and  
195 complement decay-accelerating factor (CD55)) have been identified (Figure 3).

196 **[H3] Replication.** Once *Plasmodium* gains entry into the red blood cell, it exports  
197 hundreds of proteins into the host cell cytoplasm and cell surface that modulate the acquisition  
198 of nutrients, cell adhesion and sequestration in tissues and pathogenesis.<sup>3,49,50</sup> Molecular and  
199 cell biology approaches are expanding our understanding of the molecular machinery required  
200 for the export, identify and function of these proteins.

201 In the red blood cell, *Plasmodium* replicates rapidly, and during symptomatic disease  
202 parasites typically grow exponentially up to around  $10^{11}$ - $10^{12}$  per patient. This rapid growth  
203 requires sustained pools of nucleotides for the synthesis of DNA and RNA and, as a  
204 consequence, numerous anti-malarials target pyrimidine biosynthesis<sup>51</sup>. (Figure 3) *Plasmodium*  
205 is auxotrophic for all of the amino acids it needs (*i.e.* it must acquire all of these from its food  
206 because it cannot synthesize them from other precursors). Haemoglobin digestion (in a

207 specialized food vacuole) supplies all amino acids except isoleucine, which must be obtained  
208 from other host cell components<sup>52</sup>. Haemoglobin digestion also releases heme, which is toxic to  
209 the parasite and, therefore, is polymerized into hemozoin (often called malaria pigment, which is  
210 visible as blue pigment under light microscopy), an insoluble crystal that sequesters the toxic  
211 metabolite<sup>53</sup>. How heme polymerization is facilitated by the parasite remains unclear. A complex  
212 of several proteases and heme detoxification protein (HDP) have been identified in the food  
213 vacuole; follow up studies *in vitro* showed that components of this complex (for example,  
214 falcipain 2, HDP and lipids) were able to catalyse the conversion<sup>54</sup>. The importance of  
215 understanding this mechanism is highlighted by the finding that chloroquine and other  
216 antimalarials act by inhibiting heme polymerization<sup>55</sup>(Figure 3). There is also evidence that the  
217 iron (heme-bound or free) liberated in the food vacuole during haemoglobin digestion plays a  
218 part in activating the toxicity to the parasite of artemisinin<sup>43</sup>.

219 Nutrient uptake by the parasite is coupled to the detrimental accumulation of sodium  
220 ( $\text{Na}^+$ ); however, the parasite expresses an essential plasma membrane  $\text{Na}^+$  export pump (the  
221 cation ATPase PfATP4) that can maintain  $\text{Na}^+$  homeostasis (Figure 3).<sup>56-58</sup>. Remodelling of the  
222 plasma membrane (membrane ingression) to generate daughter merozoites in the late schizont  
223 stage requires phosphatidylinositol-4 kinase (PfPI(4)K)<sup>59</sup>. Both PfPI(4)K and PfATP4 are targets  
224 of new drugs under development (Figure 3).

225

## 226 [H2] Immune evasion and host immunity

227 Malaria parasites first encounter the host immune system when sporozoites are injected  
228 in the skin (measure to be ~15 per mosquito bite in one study<sup>60</sup>), where they are phagocytosed  
229 by dendritic cells that then transport them to the lymph node draining the skin inoculation site<sup>61</sup>.  
230 The chances of transmission are increased when the host is bitten by mosquitoes that carry a

231 larger number of sporozoites, despite the fact that the number of sporozoites that can  
232 simultaneously pass through the proximal duct is limited by the duct diameter<sup>62</sup>. Sporozoites  
233 encounter a number of effectors of the immune system and how a minority of them can reach  
234 the liver and infect the hepatocytes is not well understood. Immune evasion in the liver could be  
235 in part explained by the ability of sporozoites to suppress the function of Kupffer cells (or stellate  
236 macrophages, the liver's resident macrophages) and repress the expression of MHC Class I  
237 genes<sup>63</sup>. Our understanding of host immunity associated with the red blood cell stage is more  
238 complete. Virulence genes in *Plasmodium* species are part of large expanded multigene  
239 families that are found in specialized (for example, sub-telomeric) regions of the  
240 chromosomes.<sup>7,64,65</sup> These gene families (for example, *var* genes in *P. falciparum*) encode  
241 variants of cell surface proteins that function in immune evasion through antigenic variation and  
242 also are involved in mediating cytoadherence of infected red blood cells to endothelial cells  
243 leading to sequestration in tissues.

244 Malaria disease severity both in terms of parasite burden and the risk for complicated  
245 malaria are dependent on the levels of protective immunity acquired by the human host<sup>66-68</sup>,  
246 which can help to decrease the severity of symptoms and reduce the risk of severe malaria.  
247 Immunity is thought to result from circulating IgG antibodies against surface proteins on  
248 sporozoites (thereby blocking hepatocyte invasion) and merozoites (blocking red blood cell  
249 invasion). In high-transmission areas where malaria is prevalent year round, adults develop  
250 partially protective immunity. Young infants (< 6 months of age) also are afforded some  
251 protection, probably from antibodies acquired from their mother, whereas children from 6  
252 months to 5 years of age have the lowest levels of protective immunity and are most susceptible  
253 to developing high parasitemia with risks for complications and death (for example, see a study  
254 in Kilifi, Kenya<sup>69</sup>). In low-transmission areas or areas that have seasonal malaria, individuals  
255 develop lower levels of protective immunity and typically have worse symptomatic malaria upon

256 infection. This correlation between protective immunity and malaria severity poses a challenge  
257 for successful malaria treatment programmes: as the number of infections and transmission  
258 rates decrease, increasing numbers of patients will lose protective immunity and become  
259 susceptible to severe disease. The re-introduction of malaria in areas that had been malaria-  
260 free for many years could be devastating in the short term, and, therefore, well-organized  
261 surveillance is required.

## 262 **[H2] Pathogenesis**

263 The predominant pathogenic mechanism is the haemolysis of *Plasmodium*-infected red blood  
264 cells, which release parasites and malaria endotoxin – understood as a complex of hemozoin,  
265 parasite DNA and Toll-like receptor 9 (TLR9), a nucleotide-sensing receptor involved in the host  
266 immune response against pathogens<sup>70</sup> – that leads to high levels of tumour necrosis factor  
267 (TNF $\alpha$ ), and clinical symptoms such as fever<sup>71-73</sup>. In addition, the membrane of infected red  
268 blood cells becomes stiff, and this loss of deformability contributes to the obstruction of  
269 capillaries, with life-threatening consequences in severe malaria when vital organs are  
270 affected.<sup>74</sup>

271 **[H3] Parasite factors that influence disease severity.** Disease severity and pathogenesis are  
272 linked to surface proteins that are expressed by the parasite. In *P. falciparum*, a major surface  
273 antigen is encoded by the *var* gene family, which contains ~60 members.<sup>7,11,64,65</sup> The majority of  
274 the *var* genes are classified into three subfamilies —A, B and C— based on genomic location  
275 and sequence: the B and C groups mediate the binding to host cells via platelet glycoprotein 4  
276 (CD36), whereas the A group genes mediate non-CD36 binding interactions that have been  
277 linked to severe malaria, including cerebral malaria<sup>7,65</sup>. The *var* genes encode erythrocyte  
278 membrane protein 1 (*PfEMP1*), with the B and C groups accounting for over 80% of *PfEMP1*  
279 variants. *PfEMP1* is the major protein involved in cytoadherence and mediates the binding of  
280 infected erythrocytes to the endothelial vasculature. In cerebral malaria, group A *PfEMP1*s

281 mediate binding of infected erythrocytes to endothelial protein C receptor (EPCR) and  
282 intercellular adhesion molecule 1 (ICAM-1) in the brain, thereby leading to pathology<sup>8,11,75,76</sup>.  
283 However, our knowledge of the host cell receptors that are involved in interactions with the  
284 infected erythrocytes is probably incomplete. For example, thrombin, which regulates  
285 coagulation via vitamin K-dependent protein C, can cleave *PfEMP1*, thereby reversing and  
286 preventing endothelial binding of infected erythrocytes<sup>75</sup>. In pregnancy, the expression of a  
287 specific *PfEMP1* variant, variant surface antigen 2-CSA (VAR2CSA), which is not encoded by  
288 one of the three main subfamilies, leads to an increased risk for placental malaria (Box 3)<sup>7,65</sup>

289 High parasitemia levels also seem to correlate with poor outcomes<sup>7,76</sup>, and the  
290 circulating levels of *P. falciparum* histidine-rich protein 2 (encoded by *pfhrp2*) have been used  
291 as a biomarker of parasitemia that predicts the risks for microvascular obstruction and severe  
292 disease<sup>77</sup>. The brain pathology in children with severe malaria was recently described in detail<sup>78</sup>.

293 *P. vivax* is thought to cause less-severe disease because it does not have the *var* genes  
294 that encode the endothelial binding proteins found in *P. falciparum* and because its ability to  
295 only invade reticulocytes leads to lower parasite levels.<sup>7</sup>

296 **[H3] Host traits that influence disease severity.** Malaria has exerted a strong selection  
297 pressure on the evolution of the human genome<sup>79,80</sup>. Some haemoglobin alleles that in  
298 homozygous genotypes cause severe blood disorders (such as thalassemia, the earliest  
299 described example, and sickle cell disease) have been positively selected in populations living  
300 in malaria endemic areas, because heterozygous genotypes protect against malaria.<sup>81</sup> Other  
301 inherited haemoglobin abnormalities (for example, mutations affecting haemoglobin C and E)  
302 can also provide protection against malaria<sup>82</sup>.

303 In addition, genetic polymorphisms that affect proteins expressed by red blood cells and  
304 enzyme deficiencies can also be protective against severe disease. The red blood cell Duffy

305 receptor is a key receptor that mediates invasion of *P. vivax* through interaction with the Duffy  
306 binding protein on the parasite surface<sup>47</sup>. Genetic inheritance of Duffy mutations (*Dy-/Dy-*) in  
307 Africa is credited with reducing the spread of *P. vivax* in that region, though the finding of Duffy-  
308 negative individuals that can be infected with *P. vivax* suggests we still have an incomplete  
309 understanding of invasion factors in *P. vivax*<sup>83,84</sup>. Glucose-6-phosphate dehydrogenase (G6PD)  
310 deficiency<sup>79,80</sup> provides protection through an unknown mechanism against severe malaria, at  
311 least in hemizygous males<sup>85</sup>, but unfortunately also leads to haemolytic anaemia in patients  
312 treated with primaquine, an 8-aminoquinoline antimalarial and the only agent currently approved  
313 for the treatment of latent (liver stage) *P. vivax* malaria. The mode of action of primaquine, a  
314 prodrug, remains unknown.

315 The mechanisms of malaria protection in these varied genetic disorders have been  
316 widely studied<sup>82</sup>. Common findings include increased phagocytosis and elimination by the  
317 spleen of infected mutant erythrocytes, which reduces parasitemia, reduced parasite invasion of  
318 mutant red blood cells, reduced intracellular growth rates, and reduced cytoadherence of  
319 infected mutant red blood cells; all these effects increase protection against severe malaria,  
320 which is the main driver for human evolution in this case. Some point mutations in the  
321 haemoglobin gene alter the display of *Pf*EMP1 on the surface of infected red blood cells,  
322 thereby diminishing cytoadherence to endothelial cells<sup>86,87</sup>. This finding highlights the critical  
323 role of cytoadherence in promoting severe disease.

324 Finally, variability in response to  $TNF\alpha$ , which is secreted from almost all tissues in  
325 response to malaria endotoxins, has also been proposed as a factor mediating differential host  
326 responses and contributing to severe malaria when levels are high.<sup>7</sup>

327

328 **[H1] Diagnosis, screening and prevention**

329           **[H2] Diagnosis**

330           The WHO definition of the diagnosis of malaria considers two key aspects of the disease  
331 pathology: fever and the presence of parasites.<sup>88</sup> Parasites can be detected with light  
332 microscopy examination of a blood smear (Figure 4), or a rapid diagnostic test<sup>88</sup>. The patient's  
333 risk of exposure (for example, the patient lives in an endemic region, or his or her travel history  
334 might indicate exposure) can assist in making the diagnosis. Furthermore, clinical expression of  
335 *Plasmodium* infection correlates with the level of transmission in the area. Symptoms of  
336 uncomplicated malaria include sustained episodes of high fever (Box 1); when high levels of  
337 parasitaemia are reached, several life-threatening complications might occur (severe malaria)  
338 (Box 1).

339           Complications in severe malaria mostly relate to infected red blood cells blocking blood  
340 vessels, with severity and symptoms depending on what organ is affected (Box 1) and with what  
341 intensity, and differ by age: lungs and kidney disease is unusual in children in Africa, but  
342 common in non-immune adults.

343           **[H3] Parasitaemia.** Patients with uncomplicated malaria typically have parasitaemia in  
344 the range of 1,000-50,000 per microliter (however, parasite densities below 1,000 can also  
345 present symptoms in non-immune travellers and young children). The higher densities tend to  
346 be associated with severe malaria, but the correlation is imprecise and there is no cut-off  
347 density. In a pooled analysis of patient data from 61 studies that were designed to measure the  
348 efficacy of ACTs (throughout 1998 - 2012), parasitaemia averaged ~4,000 per microliter in  
349 South America, ~10,000 per microliter in Asia and ~20,000 per microliter in Africa<sup>89</sup>. The limit of  
350 detection by thick smear microscopy is ~50 parasites per microliter.<sup>90</sup> WHO-validated rapid  
351 diagnostic tests can detect 50 to 1,000 parasites per microliter with high specificity, but many  
352 lack sensitivity, especially as compared to PCR-based methods<sup>91</sup>. The ability to detect low  
353 levels of parasitaemia is important to predict clinical relapses, as parasitaemia can increase 20-

354 fold over a 48 hour cycle period. These data are based on measurements in healthy volunteers  
355 (Controlled Human Infection models) who were infected at a defined time point with a known  
356 number of parasites, and in whom the asymptomatic parasite reproduction was monitored by  
357 qPCR up to the point the individual received rescue treatment<sup>92</sup>.

358 In hyperendemic areas (with all-year disease transmission), often many children and  
359 adults are asymptomatic carriers of the parasite. In these individuals, the immune system  
360 maintains parasites at equilibrium levels in a tug-of-war. However, parasitaemia in  
361 asymptomatic carriers can be extremely high, with reports of levels as high as 50,000 per  
362 microliter in a study of asymptomatic pregnant women, (range 80- 55,400/ $\mu$ l)<sup>93</sup>. In addition to  
363 the obvious risks for such people, they represent a reservoir for infecting mosquitoes, leading to  
364 continued transmission. In clinical studies, the parasitaemia of asymptomatic carriers can be  
365 monitored with PCR-based methods, which can detect as low as 22 parasites per millilitre.<sup>94</sup>  
366 However, detection of low-level parasitaemia in low-resource settings requires advanced  
367 technology. Loop-mediated isothermal amplification (LAMP<sup>95</sup>) is one promising approach. This  
368 type of PCR is fast (10<sup>9</sup>-fold amplification in an hour) and does not require thermal cycling,  
369 reducing the requirement for expensive hardware. Versions of this method that do not require  
370 electricity are being developed<sup>96</sup>. Nucleic acid-based techniques such as LAMP and PCR-based  
371 methods also have the advantage that they can be used to detect multiple pathogens  
372 simultaneously, and, in theory, identify drug-resistant strains<sup>97</sup>. This approach enables accurate  
373 diagnosis of which *Plasmodium* species is involved, and in the future could lead to the  
374 development of multiplexed diagnostics that enable differential diagnosis of the causative  
375 pathogens (including bacteria and viruses) in patients who present with fever<sup>98</sup>.

376 **[H3] Rapid diagnostic tests.** Rapid diagnostic tests are based on the immunological  
377 detection of parasite antigens (lactate dehydrogenase (LDH) or histidine-rich protein) in the  
378 blood, have sensitivities comparable with that of light microscopy examination but have the

379 advantage that they do not require extensive training of the user. These tests provide rapid  
380 diagnosis at point-of-care level in resource-limited settings, and can therefore substantially  
381 improve malaria control. However, occasionally, false positive results from rapid diagnostic tests  
382 can be problematic, because they could lead to the wrong perception that antimalarial  
383 medicines are ineffective. False-negative test results have been reportedly caused by *pfhrp2*  
384 gene deletions in *P. falciparum* strains in South America<sup>99-104</sup>. Current data suggest that LDH-  
385 targeting rapid diagnostic tests are less sensitive for *P. vivax* than for *P. falciparum*<sup>105</sup>, and  
386 limited information on the sensitivity of these tests for the rarer species, such as *P. ovale* or *P.*  
387 *malariae*, is available. Rapid diagnostic tests also offer great possibilities in tracking malaria  
388 epidemiology: photos of the results of the tests taken with mobile phones can be uploaded to  
389 databases (even using cloud-based data architecture<sup>106</sup>) and provide an automated collection of  
390 surveillance data<sup>107</sup>.

391

## 392 [H2] Prevention in vulnerable populations

393 Prevention of *Plasmodium* infection can be accomplished by different means: vector  
394 control, chemoprevention and vaccines. Mosquito (vector) control methods include (from the  
395 broadest to the most targeted: the widespread use of insecticides, such as in the 1960s DDT  
396 campaigns, the destruction of breeding grounds (that is, draining marshes and other breeding  
397 reservoirs), indoor residual spraying with insecticides (that is, the application of residual  
398 insecticide inside dwellings, on walls, curtains or other surfaces), the use of larvicides and the  
399 use of insecticide-treated bed nets. The use of endectocides has also been proposed: these  
400 drugs, such as ivermectin, kill or reduce the lifespan of mosquitoes which feed on individuals  
401 who have taken them<sup>108</sup>. However, this approach is still experimental: individuals would be  
402 taking drugs with no direct benefit for themselves (as they do not directly prevent human  
403 illness), and so the level of safety data required for registration of endectocides will need to be

404 substantial. Vector control approaches differ in efficacy, costs and the extent of their effect on  
405 the environment. Targeted approaches such as insecticide-treated bed nets have had a strong  
406 effect. Chemoprevention is an effective strategy that has been employed to reduce malaria  
407 incidence in campaigns of seasonal malaria chemoprevention, in intermittent preventative  
408 treatment for children and pregnant women, and for mass drug administration <sup>109</sup>. Such  
409 antimalarials need to have an excellent safety profile since they are given to large numbers of  
410 healthy people. Vaccines excel in eradicating disease, but effective malaria vaccines are  
411 challenging because, unlike viruses and bacteria against which effective vaccines have been  
412 developed, protists pathogens (like *Plasmodium*), are large-genome microorganisms that have  
413 evolved highly effective immune evasion strategies (such as encoding dozens or hundreds of  
414 cell surface protein variants). Nevertheless, the improved biotechnological arsenal to generate  
415 antigens and improved adjuvants could help to overcome such issues.

416 **[H3] Vector control measures.** The eradication of mosquitoes is no longer considered an  
417 option to eliminate malaria; however, changing the capacity of the vector reservoir has  
418 substantial effects on malaria incidence: long-lasting insecticide-treated bed nets and indoor  
419 residual spraying have been calculated to be responsible for two-thirds of the malaria cases  
420 averted in Africa between 2000 and 2015 (Ref. <sup>12</sup>). Today's favoured and more-focused vector-  
421 control approach involves the use of fine-mazed, sturdy, long-lasting and wash-proof  
422 insecticide-treated bed-nets.<sup>110</sup> The fabric of these nets is impregnated with an insecticide that  
423 maintains its efficacy after at least 20 standardized lab washes and have a three year  
424 recommended use. Insects are attracted by the person below the net, but are killed as they  
425 touch it. However, the efficacy of bed nets is threatened by several factors, including  
426 inappropriate use of the nets (for example, for fishing purposes) and behavioural changes in the  
427 mosquitoes, which have begun to bite also during the da<sup>111</sup>. The main problem, however, is the  
428 increasing emergence of vector resistance to insecticides, especially pyrethroids<sup>111</sup> and,

429 therefore, new insecticides with different modes of action are urgently needed. New insecticides  
430 have been identified by screening millions of compounds from the libraries of agrochemical  
431 companies, but even those at the most advanced stages of development are still 5-7 years from  
432 deployment (Figure 5)<sup>112,113</sup>. Few of these new insecticides are suitable for application in bed  
433 nets (because of high costs, or unfavourable chemical properties) but some can be used for  
434 indoor residual spraying. New ways of deploying these molecules are also being developed,  
435 such as improved spraying technologies<sup>114</sup>, timed release to coincide with seasonal  
436 transmission and slow-release polymer-based wall linings<sup>115,116</sup>.

437 Genetic approaches, fuelled by advances in the CRISPR-Cas9 gene editing technology,  
438 represent an exciting area of development for novel insect control strategies. There are  
439 currently two main approaches: population suppression, whereby mosquitoes are modified so  
440 that any progeny are sterile, and population alteration, whereby mosquitoes are modified so that  
441 progeny are refractory to *Plasmodium* infection<sup>117,118</sup>. Initial approaches to population  
442 suppression involved releasing sterile male insects<sup>119</sup>. These strategies have now been  
443 developed further, with the release of male insects carrying a dominant lethal gene, which kills  
444 their progeny<sup>120,121</sup>. Gene drive systems can be used for both population suppression and  
445 population alteration. These systems use homing endonucleases, which are microbial enzymes  
446 that induce lateral transfer of an intervening DNA sequence and can, therefore, convert a  
447 heterozygote into a homozygote. Homing endonucleases have been re-engineered to recognise  
448 mosquito genes<sup>122</sup>, and can rapidly increase the frequency of desirable traits in a mosquito  
449 population<sup>123</sup>. Gene drive has now been used in feasibility studies to reduce mosquito  
450 populations<sup>124</sup>, or make them less able to transmit malaria parasites<sup>125</sup>. Another approach is  
451 inspired by the finding that *Aedes aegypti* mosquitoes (the vector for Dengue, Yellow Fever and  
452 Zika viruses) infected with bacteria of the *Wolbachia* species (a parasite that naturally colonizes  
453 numerous species of insects) cannot transmit the Dengue virus to human hosts<sup>126</sup>. Symbiont

454 *Wolbachia* can be modified to make them deleterious to other parasites in the same host, and  
455 progress has been made in finding symbionts that can colonise *Anopheles* mosquitoes<sup>127,128</sup>.  
456 Although all the above approaches are very promising, they are still at a very early stage, and  
457 the environmental uncertainties associated with widespread distribution of such technologies, as  
458 well as the complex regulatory requirements, provide additional hurdles that will need to be  
459 overcome.

460 **[H3] Chemoprotection and chemoprevention.** Chemoprotection describes the use of  
461 medicines (given at prophylactic doses) to temporarily protect subjects entering an area of high  
462 endemicity, historically tourists and military personnel, and populations at risk from emergent  
463 epidemics, but is also being increasingly considered for individuals visiting areas that have  
464 become recently malaria free. Chemoprevention, often used in the context of seasonal malaria,  
465 describes the use of medicines with demonstrated efficacy for treatment that are given regularly  
466 to large populations who live in areas of high endemicity at full treatment doses (as some of the  
467 individuals treated will be asymptomatic carriers).

468 Currently there are three 'gold standard' drugs for chemoprotection: atovaquone-  
469 proguanil, doxycycline (both of which require daily doses), and mefloquine, which is taken  
470 weekly. Mefloquine is the current mainstay against the spread of multidrug-resistant  
471 *Plasmodium* in the Greater Mekong Sub-region of South East Asia, despite having a black box  
472 warning for psychiatric adverse events; however, an analysis of pooled data from 20,000 well-  
473 studied patients found this risk was small (fewer than 12 cases per 10,000 treatments).<sup>129</sup> An  
474 active search to find new medicines that could be useful in chemoprotection, in particular  
475 medicines that can be given weekly or even less frequently is underway. One interesting  
476 possibility is long-acting injectable intra-muscular combination chemoprotectants, which if  
477 effective could easily compete with vaccination, if they provided protection with 3-4 injections  
478 per year. Such an approach (called pre-exposure prophylaxis) is being studied for HIV (which

479 also poses major challenges in the development of an effective vaccine)<sup>130</sup>, and may lead to the  
480 development of long-acting injectable drug formulations<sup>131</sup> produced as crystalline nanoparticles  
481 (to enhance water-solubility) using the milling technique.

482 Chemoprevention generally refers to seasonal malaria chemoprevention campaigns,  
483 which target children <5 years of age<sup>132</sup>. In the Sahel region (the area just south of the Sahara  
484 desert, where there are seasonal rains and a recurrent threat of malaria), seasonal malaria  
485 chemoprevention with a combination of sulfadoxine-pyrimethamine plus amodiaquine had a  
486 strong effect<sup>133-137</sup>, with a reduction of malaria cases of >80% among children and a reduction of  
487 mortality of >50%<sup>138</sup>. Although these campaigns are operationally complex – the treatment has  
488 to be given monthly – between 2015 and 2016 over 20 million children have been protected, at  
489 a cost of ~US\$1 per treatment. A concern about seasonal malaria chemoprevention is the  
490 potential for a rebound effect of the disease. Rebound could occur if children lose immunity  
491 against malaria while receiving treatment that is later stopped because they reached the age  
492 limit, if campaigns are interrupted because of economic difficulties or social unrest (war) or if  
493 drug resistance develops. Because of the presence of resistant strains, a different approach is  
494 needed in African areas south of the Equator<sup>139</sup>, which led to trials of monthly three-day courses  
495 of ACTs in seasonal chemoprevention<sup>137</sup>; there is growing literature on the impressive efficacy  
496 of dihydroartemisinin (DHA)-piperaquine to prevent malaria in high risk groups.<sup>140</sup> To reduce the  
497 potential for the emergence of drug resistance, the WHO good practice standards state that,  
498 when possible, drugs used for chemoprevention should differ from the front-line treatment that is  
499 used in the same country or region<sup>109</sup>, underscoring the need for the development of multiple,  
500 new and diverse treatments to provide a wider range of options.

501 Finally, intermittent preventive treatment is also recommended to protect pregnant  
502 women in all malaria-endemic areas (Box 3).<sup>109</sup>

503 **[H3] Vaccines.** Malaria, along with tuberculosis and HIV infection, is a disease in which all  
504 components of the immune response (both cellular, in particular during the liver stage, and  
505 humoral, during the blood stage) are involved, and this means that developing an effective  
506 vaccine will be a challenge. The fact that adults living in high-transmission malarious areas  
507 acquire partial protective immunity indicates that vaccination is a possibility. As a consequence,  
508 parasite proteins targeted by natural immunity, such as the circumsporozoite protein (the most  
509 prominent surface antigen expressed by sporozoites), proteins expressed by merozoites and  
510 parasite antigens exposed on the surface of infected red blood cells<sup>141</sup> have been studied for  
511 their potential to be used in vaccine programs<sup>142</sup>. However, experimental malaria vaccines tend  
512 to target specific parasite species and surface proteins, an approach that both restricts their use  
513 and provides scope for the emergence of resistance. Sustained exposure to malaria is needed  
514 to maintain natural protective immunity, which is otherwise lost in 3-5 years<sup>143</sup>, perhaps as a  
515 result of clearance of circulating antibodies and failure of memory B cells to develop into long-  
516 lived plasma B cells. Controlled Human Infection models<sup>144-146</sup> have started to provide a more-  
517 precise understanding of the early cytokine and T-cell responses in naïve subjects,  
518 underscoring the role of the regulatory T-cells in damping the response against the parasite,  
519 resulting in an exhaustion of T cells<sup>147</sup>. Vaccine development is currently focusing on using  
520 multiple antigens from different stages of the parasite lifecycle. Future work will also need to  
521 focus on the nature of the immune response in man, and specifically the factors leading to  
522 diminished T-cell responses. New generations of adjuvants are needed, possibly compounds  
523 that produce the desired specific response, rather than a general immune stimulation. This is a  
524 challenging area of research, as adjuvants have often completely different efficacy in humans  
525 and preclinical animal models.

526           Currently there is no licenced vaccine against malaria. The ideal vaccine should protect  
527 against both *P. falciparum* and *P. vivax*, with a protective, lasting efficacy of at least 75%. The

528 most advanced candidate is RTS,S (trade name Mosquirix, developed by GlaxoSmithKline and  
529 the PATH-Malaria Vaccine Initiative), which contains a recombinant protein with parts of the *P.*  
530 *falciparum* circumsporozoite protein combined with the hepatitis B virus surface antigen, with a  
531 proprietary adjuvant. RTS,S reduced the number of malaria cases by half in 4,358 children 5–17  
532 months of age during the first year following vaccination<sup>148</sup>, preventing 1,774 cases for every  
533 1,000 children thanks to herd immunity, and had an efficacy of 40% over the entire 48 months of  
534 follow-up in children that received four vaccine doses over a four-year period<sup>149</sup>. Efficacy during  
535 the entire follow-up dropped to 26% when children only received three vaccine doses. Efficacy  
536 during the first year in 6-12 week old children was limited to 33%. Thus, the RTS,S vaccine fails  
537 to provide long-term protection. Further studies, as requested by the WHO, will be done in pilot  
538 implementations of 720,000 children in Ghana, Kenya and Malawi (240,000 each, half of which  
539 will receive the vaccine), before a final policy recommendation is made. However, a vaccine  
540 with only partial and short-term efficacy could still be used in the fight against malaria. RTS,S  
541 could be combined with chemoprevention to interrupt malaria transmission in low-endemic  
542 areas.<sup>150</sup> Thus, vaccines unable to prevent *Plasmodium* infection could be used to prevent  
543 transmission (for example, by targeting gametocytes), or as additional protective measure for  
544 pregnant women.

545 A large pipeline of vaccine candidates is under evaluation (Figure 6). These include  
546 irradiated sporozoites, an approach that maximizes the variety of antigens exposed<sup>151</sup>, and  
547 subunit vaccines, which could be developed into multi-component, multi-stage and multi-antigen  
548 formulations<sup>152</sup>. Although vaccines are typically designed for children, as the malaria map  
549 shrinks, both paediatric and adult populations living in newly malaria-free zones will need  
550 protection, because they would probably be losing any naturally acquired immunity and,  
551 therefore, be more-susceptible. Indeed, in recent years there has been a focus on transmission-  
552 blocking vaccines to drive malaria elimination. This approach has been labelled altruistic, as

553 vaccination would have no direct benefit for the person receiving it, but it would benefit the  
554 community; a regulatory pathway for such a novel approach has been proposed<sup>153,154</sup>. The most  
555 clinically advanced vaccine candidate based on this approach is a conjugate vaccine that targets  
556 the female gametocyte marker Pfs25 (Ref. <sup>155</sup>), and other antigens are being tested pre-  
557 clinically. Monoclonal antibodies are another potential tool to provide protection. Improvements  
558 in manufacturing and high-expressing cell lines are helping to overcome the major barrier to  
559 their use (high costs)<sup>156</sup>, and improvements in potency and pharmacokinetics are reducing the  
560 volume and frequency of administration <sup>157</sup>. Monoclonal antibodies could be particularly useful to  
561 safely provide the relatively short-term protection needed in pregnancy. The molecular basis of  
562 the interaction between parasites and placenta is quite well understood; two Phase I trials of  
563 vaccines that are based on the VAR2CSA antigen are under way<sup>158,159</sup>.

## 564 **[H1] Management**

565 No single drug is effective against all *Plasmodium* species or all of the manifestations of  
566 the disease that occur in different patient populations. Thus, treatment must be tailored to each  
567 situation appropriately<sup>109,160</sup>. Firstly, the treatments of uncomplicated and severe malaria are  
568 distinct. In uncomplicated malaria, the treatment of choice is an oral medicine with a low  
569 adverse effect profile. However, in severe malaria, the preferred initial therapy includes  
570 parenteral administration of an artemisinin derivative, as this formulation has a quick onset and  
571 can rapidly clear the parasites from the blood, and is also suitable for those patients with  
572 changes in mental status (such as coma) that make swallowing oral medications impossible.  
573 For treatment of malaria in pregnancy, the options are limited to the drugs that are known to be  
574 safe for both expectant mother and foetus, and different regimens are needed (box 2). Different  
575 drugs are used for different *Plasmodium* species, a choice usually driven more by drug  
576 resistance frequencies (lower in *P. vivax*, *P. ovale*, *P. malariae* and *P. knowlesi* compared with  
577 *P. falciparum*) rather than by species differences as such. Thus, chloroquine, with its low cost

578 and excellent safety, is used in most cases of non-falciparum malaria, where it remains  
579 effective, whereas falciparum malaria requires newer medicines that overcome resistance  
580 issues. The persistence of *P. vivax* and *P. ovale* hypnozoites, even after clearance of the stages  
581 that cause symptoms, necessitates additional treatments. Only primaquine targets hypnozoites.

## 582 [H2] *P. falciparum* malaria

583 The mainstay treatments for uncomplicated *P. falciparum* malaria are ACTs: fixed-dose  
584 combinations of two drugs, an artemisinin derivative and a quinine derivative<sup>109</sup>. (Table 1, box  
585 4).

586 Because of its high lipophilicity, artemisinin itself is not the molecule of choice in any  
587 Stringent Regulatory Authorities -approved combination. Instead, semi-synthetic derivatives are  
588 used, either DHA (the reduced hemiacetal of the major active metabolite of many artemisinins),  
589 artesunate (a succinate prodrug of DHA, which is highly water soluble) or artemether (a  
590 methylether prodrug of DHA).

591 Quinine has been used in medicine for centuries<sup>161</sup>, but it was only in the 20<sup>th</sup> century  
592 that a synthetic form was made, and the emerging pharmaceutical and government research  
593 sectors delivered the next generation medicines that built on it. The combination partners of  
594 choice are 4-aminoquinolines (for example, amodiaquine, piperaquine and pyronaridine) and  
595 amino-alcohols (such as mefloquine or lumefantrine); these molecules are believed to interfere  
596 with hemozoin formation. There are now five ACTs that have been approved or are close to  
597 approval by the FDA, EMA or WHO Prequalification (Table 1 and Figures 7 and 8). In pivotal  
598 clinical studies, these combinations have proven extremely effective (adequate clinical and  
599 parasitological response (that is, absence of parasitaemia at day 28) >94%, see for example  
600 Ref <sup>162</sup>), are well tolerated (as they have been given to over 300 million paediatric patients),

601 affordable (typically under US \$1 per dose) and, thanks to ingenious formulations and  
602 packaging, stable in tropical climate conditions.

603         Following the results of comprehensive studies in Africa and Asia, the injectable  
604 treatment of choice for severe falciparum malaria is artesunate<sup>163-165</sup>. In the United States,  
605 artesunate for intravenous use is available as an Investigational New Drug (IND) through the  
606 CDC (Centers for Disease Control and Prevention) malaria hotline and shows efficacies of  
607 above 90% even in patients who are already unconscious<sup>166</sup>. Sometimes, however, in low-  
608 income countries it is necessary to administer intravenous quinine or quinine while awaiting an  
609 artesunate supply. Suppositories of artesunate are in late stage product development<sup>167</sup>, and  
610 already available in Africa, as a pre-referral treatment to keep patients alive while they reach a  
611 health clinic.

## 612         **[H2] *P. vivax* malaria**

613         Chloroquine or ACTs are WHO-recommended for uncomplicated vivax malaria<sup>109</sup>  
614 (although chloroquine is no longer used in several countries, for example, Indonesia). Since  
615 chloroquine-resistant *P. vivax* is becoming increasingly widespread, particularly in Asia, the use  
616 of ACTs is increasing; although only artesunate-pyronaridine is approved for the treatment of  
617 blood stage *P. vivax* malaria, the other ACTs are also effective, and are used off-label.  
618 Relapses of *P. vivax* malaria present a problem in malaria control. Relapse frequencies differ  
619 among *P. vivax* strains: they are high (typically within three weeks) in all-year transmission  
620 areas, such as Papua New Guinea, but relapse occurs on average after seven months in areas  
621 with a dry or winter season. Some *P. vivax* strains, such as the Moscow and North Korea  
622 strains, are not, in most cases, symptomatic at the time of first infection, but become  
623 symptomatic only on reactivation of the hypnozoites.<sup>168</sup> Primaquine needs to be administered in  
624 addition to the primary treatment to prevent relapse and transmission, which can occur even  
625 years after the primary infection. Primaquine treatment, however, lasts 14 days, has gastro-

626 intestinal adverse effects in some patients and is contra-indicated in pregnant women and in  
627 patients who are deficient or express low levels of G6PD (as it can cause haemolysis).  
628 Tafenoquine<sup>169</sup>, a next generation 8-aminoquinoline, is currently completing Phase III clinical  
629 studies. As with primaquine, patients will still require an assessment of their G6PD enzyme  
630 activity for safe use to determine the optimal dose. In phase II studies, tafenoquine was shown  
631 to have similar efficacy as primaquine, but with a single dose only compared with the 7-14 day  
632 treatment with primaquine; higher patient compliance is expected to be a major benefit of a  
633 single-dose regimen. The ultimate elimination of *P. vivax* malaria will be dependent on the  
634 availability of safe and effective anti-relapse agents and is, therefore, a major focus of the drug  
635 discovery community.

## 636 [H2] Drug resistance

637 The two drugs that compose ACTs have very different pharmacokinetic profiles in  
638 patients. The artemisinin components have a plasma half-life of only a few hours, yet can  
639 reduce parasitaemia by 3-4 orders of magnitude. On the other hand, the 4-aminoquinolines or  
640 amino-alcohols have long (>4 days) terminal half-lives, providing cure (defined as adequate  
641 clinical and parasitological response) and varying levels of post-treatment prophylaxis. The  
642 prolonged half-life of the non-artemisinin component of ACTs has raised concerns in the  
643 research community, owing to the risk of drug resistance development. However, the  
644 effectiveness of the ACTs in rapidly reducing parasitaemia suggests that any emerging  
645 resistance has arisen largely as a result of poor clinical practice: the use of artemisinins as  
646 monotherapy, lack of patient compliance and sub-standard medicine quality (including  
647 counterfeits) — all situations in which large numbers of parasites are exposed to a single active  
648 molecule<sup>170</sup>. However, partial resistance to piperazine<sup>171</sup> and artemisinin<sup>172</sup> (which manifests  
649 as a reduced rate of parasite clearance rate rather than a shift in IC<sub>50</sub>) has been confirmed in  
650 the Greater Mekong Subregion, as well as resistance to mefloquine and amodiaquine in various

651 parts of the world<sup>173</sup>. Africa has so far been spared, but reports of either artemisinin<sup>174</sup> or ACT  
652 treatment failures<sup>175</sup> in African isolates of *P. falciparum* have raised concerns. Thus,  
653 artemisinin-resistant *Plasmodium* and insecticide-resistant mosquitoes are major threats to the  
654 progress that has been made in reducing malaria deaths through the current control programs.  
655 It is important to emphasize that progress against malaria has historically been volatile; in many  
656 areas the disease re-emerged as the efficacy of old drugs was lost in strains that developed  
657 resistance.

658         Large strides have been made towards identifying genetic markers in *Plasmodium* that  
659 correlate with resistance to clinically used drugs (Table 2). These markers enable the research  
660 and medical community to proactively survey parasite populations to make informed treatment  
661 choices. Cross-resistance profiles reveal reciprocity between 4-aminoquinolines and amino-  
662 alcohols (parasites resistant to one class are more sensitive to the other). Additionally a drug  
663 can exert two opposite selective pressures, one towards the selection of resistant mutant and  
664 the other towards the selection of strains with increased sensitivity to a different drug, a  
665 phenomenon known as "inverse selective pressure"<sup>176,177</sup>. These findings support the  
666 introduction of treatment rotation or triple combination therapies as potential future options.  
667 Finally, the drug discovery and development pipeline is delivering not only new compounds that  
668 have novel modes of action and overcome known resistant strains, but also chemicals with the  
669 potential to be effective in a single dose, to overcome compliance issues. Nevertheless,  
670 policymakers need to be on high alert to prevent or rapidly eliminate outbreaks of resistant  
671 strains and to prioritize the development of new treatments.

## 672 **[H2] Drug discovery and development pipeline**

673         The most comprehensive antimalarial Discovery portfolio has been developed by the  
674 not-for-profit PDP Medicines for Malaria Venture (MMV) in collaboration with its partners in both  
675 academia and the pharmaceutical industry, with generous support from donors (mainly

676 government agencies and philanthropic foundations). (Figure 7). Promising compound series  
677 have been identified from three approaches: hypothesis-driven design to develop alternatives to  
678 marketed compounds (for example, synthetic peroxides such as ozonides), target-based  
679 screening and rational design (for example, screening of inhibitors of *P. falciparum*  
680 dihydroorotate dehydrogenase (DHODH)) and phenotypic screening<sup>178</sup>. Phenotypic screening is  
681 the most successful approach to date, in terms of delivering preclinical candidates and  
682 identifying, through sequencing of resistant mutants, novel molecular targets. However, with the  
683 advances in the understanding of parasite biology and in molecular biology technology, target-  
684 based approaches will probably have a substantial role in the coming years.

685 Two combinations, OZ439-Ferroquine (Sanofi and MMV) and KAF156-Lumefantrine  
686 (Novartis and MMV), are gearing up to begin Phase IIb development to test the efficacy of  
687 single dose cure and, in the case of KAF156-Lumefantrine, additionally two- or three-day cures.  
688 OZ439, or artefenomel, is a fully synthetic peroxide with sustained plasma exposure from a  
689 single, oral dose in humans<sup>179,180</sup>; the hope is that it could replace the three independent doses  
690 required with an artemisinin derivative. Sanofi's ferroquine is a next generation 4-  
691 aminoquinoline without cross-resistance to chloroquine, amodiaquine or piperazine<sup>181,182</sup>.  
692 KAF156 is a novel imidazolopiperazine with unknown mechanism of action<sup>183-185</sup>, but its  
693 resistance marker, *P. falciparum* Cyclic Amine Resistance Locus (*PfCARL*), appears to code for  
694 a transporter on the endoplasmic reticulum membrane of the parasite. Interestingly, whilst  
695 OZ439 and ferroquine principally affect asexual blood stages, KAF156 also targets both the  
696 asexual liver stage and the sexual gametocyte stage and, therefore, could have an effect on  
697 transmission.

698 Two other compounds, KAE609 (also known as cipargamin<sup>186,187</sup>) and DSM265<sup>188-191</sup>,  
699 are poised to begin Phase IIb and are awaiting decisions on combination partners. KAE609 is a  
700 highly potent spiroindolone that provides parasite clearance in patients even more rapidly than

701 peroxides; its assumed mode of action is the inhibition of *Pf*ATP4 (Figure 3) (encoded by its  
702 resistance marker), a transporter on the parasite plasma membrane that regulates Na<sup>+</sup>/proton  
703 homeostasis. Inhibition of this channel, identified through sequencing of resistant mutants,  
704 increases Na<sup>+</sup> concentration and pH, which results in parasite swelling, rigidity and fragility that  
705 contribute to host parasite clearance in the spleen on top of intrinsic parasite killing. In addition,  
706 effects on cholesterol levels in the parasite plasma membrane have been noted that are also  
707 likely to contribute to parasite killing by leading to increased rigidity that results in more rapid  
708 clearance *in vivo*<sup>192</sup>. DSM265 is a novel triazolopyrimidine with both blood and liver stage  
709 activity that selectively inhibits the *Plasmodium* enzyme PfDHODH (Figure 3). It was  
710 optimized for drug-like qualities from a compound that was identified from a high throughput  
711 screen of a small molecule library<sup>189,193</sup>. DSM265 maintains a serum concentration above its  
712 minimum parasitocidal concentration in humans for 8 days, and had efficacy in both treatment  
713 and chemoprevention models in human volunteers in Phase Ib trials<sup>188,191</sup>.

714           Within Phase I, new compounds are first assessed for safety and pharmacokinetics, and  
715 then for efficacy against asexual blood or liver stages of *Plasmodium* using a controlled human  
716 malaria infection model in healthy volunteers<sup>146</sup>. This model provides a rapid and cost-effective  
717 early proof of principle and, by modelling the concentration-response correlation, increases the  
718 accuracy of dose predictions for further clinical studies. The 2-aminopyridine MMV048  
719 (MMV390048, Refs.<sup>194,195</sup>), (+)-SJ733 (SJ557733; Refs.<sup>58,196</sup>) and P218 (Ref.<sup>197</sup>) are currently  
720 progressing through Phase I. MMV048, inhibits *Pf*PI(4)K, (Figure 3) and this inhibition affects  
721 the asexual liver and blood stages as well as the sexual gametocyte stage. MMV048 has good  
722 exposure in animal models<sup>195</sup>, suggesting it could potentially be used in a single dose use in  
723 combination with another drug. SJ733, a dihydroisoquinolone, inhibits *Pf*ATP4 and is an  
724 alternative partner with a completely different structure from KAE609 that has excellent

725 preclinical safety and development potential. P218 is currently being evaluated for testing in the  
726 controlled human malaria infection cohort.

727 A further eight compounds are undergoing active preclinical development <sup>198</sup>. Of these  
728 compounds, four are alternatives to the leading compounds that target established  
729 mechanisms: PA92 (PA-21A092, Ref. <sup>199</sup>) – an aminopyrazole – and GSK030 (GSK3212030A)  
730 – a thiotriazole – both target PfATP4, DSM421<sup>200</sup> is a triazolopyrimidine alternative to DSM265  
731 and UCT943 (MMV642943)<sup>201</sup> is an alternative to MMV048. Three compounds show novel  
732 mechanisms of action or resistance markers: DDD498 (DDD107498<sup>202</sup>) inhibits *P. falciparum*  
733 elongation factor 2 (and, therefore, protein synthesis) and has outstanding efficacy against all  
734 parasite lifecycle stages, MMV253 (AZ13721412)<sup>203</sup> is a fast-acting triaminopyrimidine with a V-  
735 type ATPase as resistance marker and AN762 (AN13762) is a novel oxaborole <sup>204</sup> with a novel  
736 resistance marker. All these compounds are developed by collaborations with MMV.

737 The eighth compound in active preclinical development, led by Jacobus Pharmaceuticals, is  
738 JPC3210<sup>205</sup>, a novel aminocresol that improves upon the historical candidate, WR194965,  
739 which was developed by the Walter Reed Army Institute of Research and tested in patients at  
740 the time of the development of mefloquine in the 1970s. JPC3210 has an unknown mechanism  
741 of action with potent, long-lasting efficacy in preclinical models, suggesting the potential to be  
742 used in a single dose for both treatment and prophylaxis<sup>205</sup>.

## 743 **[H1] Quality of life**

744 Malaria is one among the diseases of poverty. On the WHO web-site it is stated: "*There*  
745 *is general agreement that poverty not only increases the risk of ill health and vulnerability of*  
746 *people, it also has serious implications for the delivery of effective health-care such as reduced*  
747 *demand for services, lack of continuity or compliance in medical treatment, and increased*  
748 *transmission of infectious diseases*<sup>206</sup>." The socio-economic burden of malaria is enormous and

749 although the disease prevalently affects children, it is a serious obstacle to development and  
750 economy<sup>207</sup>. Malaria is responsible for annual expenses of well over billions of euros in some  
751 African countries<sup>208</sup>. In many endemic areas, each individual suffers multiple episodes of  
752 malaria per year, each causing loss of school time for children and work time for their parents  
753 and guardians. Despite the declining trends in malaria morbidity and mortality, the figures are  
754 still disconcertingly high for a disease that is entirely preventable and treatable<sup>16</sup>.

755 Malaria has long-term detrimental effects also on non-health-related quality of life of the  
756 affected population: it intensifies poverty by limiting education opportunities, as it leads to  
757 absenteeism in schools and reduced productivity at work<sup>16</sup>. The effects of acute illness normally  
758 drive families to seek urgent attention, which may consist of self-medication, if the disease is  
759 familiar to the household. Yet even an episode of uncomplicated malaria can potentially be fatal,  
760 owing to delay in prompt access to efficacious antimalarial drugs. Because malaria is so familiar  
761 to many households, patients, especially children, may be presented late for early diagnosis and  
762 treatment in health facilities. Late presentation prolongs morbidity, increases the risk for severe  
763 malaria and deprives the families of income through direct expenses and reduced productivity.  
764 Frequent disease episodes experienced in the endemic areas as well as their possible  
765 complications can negatively affect child growth and nutrition, shortening the lives of children  
766 and family members. The neurological consequences can affect a child's ability to learn and  
767 become a self-reliant adult<sup>209-211</sup>, as they often occur at an important growth phase of the brain,  
768 when areas involved in higher learning (such as planning, decision-making, self-awareness and  
769 social sensitivity) mature. Cognitive deficits occurring during the early education years affect the  
770 entire family, as they impair the child's ability to contribute to the well-being of the family as they  
771 grow and put additional strain on the parents, who may sometimes have to care for a  
772 substantially disabled child and, later, an adult<sup>212</sup>.

773

## 774 [H1] Outlook

775 The agenda set by the WHO aims for malaria incidence and mortality to decrease by  
776 90% over the next 15 years, with increasing numbers of countries that eliminate the disease<sup>213</sup>  
777 Even if we achieve the ambitious goals set by the WHO, there will still be a child dying of  
778 malaria every 10 minutes in 2030. The ACTs are extraordinarily effective, and much of the  
779 disease burden could be reduced by complete deployment and availability of these medicines.  
780 There are now two approved ATCs that are specifically designed (taste-masked and  
781 sweetened) for paediatric use.

782 However, the emergence of drug-resistant *Plasmodium* and insecticide-resistant  
783 mosquitoes is a major concern. The first clinical reports of artemisinin resistance appeared from  
784 the Thai-Cambodia border region in the mid-2000s<sup>214</sup>. So far, resistant strains have not spread  
785 to Africa, and the severity of the malaria caused by artemisinin-resistant parasites is not  
786 different from that of disease caused by wild type strains. However, if artemisinins became  
787 ineffective, no alternative first-line treatments would be available, as new therapies are still only  
788 in phase II clinical trials and their safety and efficacy will need to be effectively assessed in the  
789 field before they can be deployed for wide-spread clinical use.

## 790 [H2] Diagnostics

791 Future diagnostics should address two main issues. Ideally, new diagnostic tests would be non-  
792 invasive and not require a blood sample. Many approaches have been piloted, including  
793 parasite antigen detection in saliva<sup>215</sup> or urine<sup>216</sup>, detection of specific volatile chemical in  
794 breath<sup>217</sup> and direct, non-invasive measurements of iron-rich hemozoin in skin blood vessels<sup>218</sup>.  
795 Secondly, diagnostics should to be able to detect drug-resistant strains directly in the point-of-  
796 care setting, rather than in sentinel sites, to provide better treatment and generate more-detailed  
797 epidemiologic maps<sup>219</sup>. A next-generation amplicon sequencing method suitable for use in

798 endemic countries would enable high-throughput detection of genetic mutations in six *P.*  
799 *falciparum* genes associated with resistance to anti-malarial drugs, including ACTs, chloroquine  
800 and sulfadoxine-pyrimethamine<sup>220</sup>.

## 801 **[H2] Malaria challenges**

802 Besides the length of the process of discovery and development of new drugs,  
803 insecticides and vaccines, in malaria there is the additional hurdle of delivery of these new  
804 compounds, which first need to obtain approval from all local regulatory authorities. There is a  
805 trend for harmonization of the approval requirements among different authorities, with an  
806 initiative involving several regional African organizations, for example, to review data on behalf  
807 of many countries, similarly to the European Medicines Agency reviewing files on behalf of all  
808 the EU countries. These events are paving the way to shorten the time from the end of clinical  
809 studies to the day of large-scale deployment, when affected populations will start to reap the  
810 benefits .

## 811 **[H2] The move towards elimination**

812 High-content cellular assays are available to test inhibitors of transmission and  
813 compounds that target hypnozoites<sup>221,222</sup>. Discovery efforts for treatment and chemoprotection  
814 combinations conform to the malaria Target Product Profiles, a planning tool for therapeutic  
815 candidates based on FDA guidelines, to ensure that what is delivered has clinical relevance.  
816 The MMV has defined<sup>223</sup> and updated<sup>224</sup> Target Candidate Profiles (TCPs), which define the  
817 attributes that are required for the ideal medicines and have proven invaluable in guiding single  
818 molecule optimization and decision making.

819 The current focus is moving beyond TCP1 (that includes molecules that clear asexual  
820 blood stage parasitemia) – the goal is to deliver compounds that do not simply treat patients and  
821 control symptoms but have biological activity that disrupts the lifecycle of the parasite and hence

822 break the transmission cycle, a step that is necessary in the move towards elimination.  
823 Particular areas of interest are new compounds for chemoprotection with liver stage activity  
824 (TCP2), anti-relapse agents for vivax malaria (TCP3, compounds that target hypnozoites),  
825 gametocytocidal compounds to block transmission (TCP5) and compounds that kill hepatic  
826 schizonts (TCP4) and protect from the onset of symptomatic stages. Future projects include  
827 long-lasting endectocides (TCP6) such as ivermectin<sup>108</sup>. The MMV Discovery portfolio also  
828 includes alternative compounds to the clinical frontrunners, molecules with new mechanisms of  
829 action (which target, for example, as N-myristoyltransferase<sup>225</sup>, Coenzyme A biosynthesis<sup>226</sup>,  
830 phenylalaninyl<sup>227</sup> and prolyl<sup>228</sup> tRNA synthetase, plasmepsin V<sup>229</sup> and the Qi site of cytochrome  
831 bc1<sup>230</sup>) and compounds that appear resistance-proof (at least *in vitro*).

832

833 **References**

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1618

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1628

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1632

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1637

1638 **Box 1. Malaria key terms**

- 1639 • Asymptomatic malaria: can be caused by all *Plasmodium* species; the patient has  
1640 circulating parasites but no symptoms.
- 1641 • Uncomplicated malaria: can be caused by all *Plasmodium* species. Symptoms are non-  
1642 specific and can include fever, moderate to severe shaking chills, profuse sweating,  
1643 headache, nausea, vomiting, diarrhoea and anaemia, with no clinical or laboratory  
1644 findings of severe organ dysfunction
- 1645 • Severe (complicated) malaria: usually caused by infection with *Plasmodium falciparum*,  
1646 though less frequently can also be caused by *Plasmodium vivax* or *Plasmodium*  
1647 *knowlesi*. Complications include severe anaemia and end-organ damage, including  
1648 coma (cerebral malaria), pulmonary complications (for example, oedema and  
1649 hyperpnoeic syndrome<sup>231</sup>) and hypoglycaemia or acute kidney injury. Severe malaria is  
1650 often associated with hyperparasitaemia and is associated with increased mortality.
- 1651 • Placental malaria – parasites are present in the placenta, leading to poor outcomes for  
1652 the foetus and possibly the mother.

1653

1654 **Box 2. *Plasmodium* genome and genomic tools for understanding gene function**

1655

1656 **[H1] Characteristics of *Plasmodium* genome**

- 1657 • Each haploid genome consists of 23 megabases, which encode the program for the  
1658 parasites' complex life cycle within ~5,500 genes<sup>17-19</sup>.
- 1659 • Many genes encode proteins that have similarities to host proteins, many are novel and  
1660 many (about half) remain annotated as hypothetical or of unknown function.
- 1661 • Plasmodium genome includes an essential plastid, the apicoplast, which is derived from  
1662 two sequential endosymbiotic events and encodes genes from both plant (red algal) and  
1663 bacterial (cyanobacterium) origin<sup>232</sup>. The bacterial origin of some enzymes encoded by the  
1664 plastid make *Plasmodium* sensitive to some antibacterial agents while the plant-like pathways  
1665 can be targeted by herbicides. This plastid is one source of genes that differ from the host  
1666 and have been considered as potential drug targets.
- 1667 • Gene transcription across the *Plasmodium* intraerythrocytic lifecycle follows a pre-  
1668 programmed cyclic cascade where most genes are expressed at peak levels only once  
1669 per life cycle<sup>233-235</sup>. Genes encoding cell surface proteins involved in host-parasite  
1670 interactions are the exception.
- 1671 • Gene expression patterns have been reported to lack response to perturbations: minimal  
1672 changes were observed after treatment with antifolates and chloroquine; however, larger  
1673 changes have been observed with other drug classes<sup>236,237</sup>. Species-specific differences  
1674 in transcription have been observed that appear to be linked to the mammalian host<sup>238</sup>.
- 1675 • Ribosome profiling demonstrated that transcription and translation are tightly coupled for  
1676 90% of genes<sup>239</sup>. Exceptions of translationally upregulated genes typically were found for  
1677 proteins involved in merozoite egress and invasion.
- 1678 • Epigenetic mechanisms to control gene expression include post-translational histone  
1679 modifications (methylation and acetylation of the N-terminus are the best-characterized).  
1680 Many of these modifications have been linked to parasite development.<sup>64,240</sup>

1681

1682 **[H1] Genomic tools**

- 1683 • Gene knockouts are possible, but RNA interference -mediated knockdown mechanisms  
1684 do not function in *Plasmodium* species<sup>241,242</sup>.
- 1685 • Regulated RNA aptamer-based approaches have led to methods that allow gene  
1686 knockouts to be functionally rescued, a key method to study essential genes<sup>241,242</sup>.
- 1687 • CRISPR-Cas9 directed genome editing has greatly facilitated genetic manipulation of *P.*  
1688 *falciparum*.<sup>241,242</sup>.
- 1689 • Bar coded mutant *P. berghei* libraries have been developed to screen for competitive  
1690 fitness across tens of mutants in a single mouse<sup>243</sup>.
- 1691 • *In vitro* selection of drug-resistant mutant parasites followed by whole-genome  
1692 sequencing has also become a well-established method to reveal candidate drug-  
1693 targets<sup>244</sup>.
- 1694 • Metabolomics approaches facilitate understanding of *Plasmodium* biology and have  
1695 been used to profile a number of antimalarial compounds of both known and unknown  
1696 mechanisms of action<sup>245</sup>.

1697

1698 **Box 3: Malaria and Pregnancy**

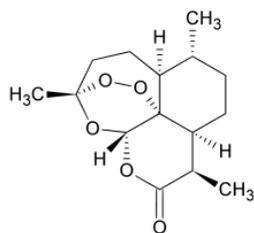
- 1699 • Pregnant woman are more-susceptible to *Plasmodium* infection, particularly in the first  
1700 pregnancy, as the mother-to-be has not yet acquired immunity to parasites expressing  
1701 the protein VAR2CSA<sup>36</sup>. VAR2CSA on the surface of infected red blood cells facilitates  
1702 adhesion to chondroitin sulphate A (which is expressed by placental proteoglycans),  
1703 leading to sequestration in the placenta<sup>7,65</sup>. The risk of placental malaria is reduced in  
1704 multigravida women from endemic areas, who generally have antibodies against  
1705 VAR2CSA<sup>66-68</sup>.
- 1706 • Malaria during pregnancy leads to increased risks to the mother and foetus<sup>37,246</sup>. Most  
1707 studies have focused on sub-Saharan Africa; however, pregnancy-related risks are a  
1708 problem throughout the world, including Latin America, where *P. vivax* is the dominant  
1709 causative agent<sup>247</sup>.
- 1710 • Placental malaria might be asymptomatic or clinically mild, but also leads to increased  
1711 risk of death for both foetus and mother. It predisposes to miscarriage, stillbirth, preterm  
1712 delivery and babies with low birth weight, whose quality of life will probably be poor  
1713 because of cognitive, mobility, self-care and sensation limitations and a high mortality  
1714 rate<sup>37,246</sup>.
- 1715 • Intermittent preventive treatment with sulfadoxine-pyrimethamine in endemic regions is  
1716 recommended, and is generally administered at each antenatal visits following  
1717 quickening<sup>109</sup>, though the emergence of resistance is threatening its efficacy.<sup>248</sup>
- 1718 • Treatments for pregnant woman must take into account the availability of safety data for  
1719 the foetus. As a consequence, newer treatments require time to obtain sufficient  
1720 confirmation of their tolerability in the different trimesters. The WHO recommends  
1721 quinine sulphate and clindamycin in the first trimester. Artemisinin derivatives provided  
1722 comparable safety to quinine<sup>249</sup>, but the results of this study have not yet been  
1723 incorporated into the WHO guidelines. In the second or third trimester, the WHO  
1724 recommends artemisinin-based combination therapies<sup>109</sup>.
- 1725 • Treatment of pregnant women with *P. vivax*, *P. ovale* or *P. malariae* infection can also  
1726 include chloroquine, unless resistance is suspected<sup>109</sup>. Women at high risk for relapses  
1727 can be given weekly chloroquine chemoprophylaxis until after delivery. Follow up

1728 therapy with primaquine against *P. vivax* and *P. ovale* hypnozoites is not thought safe in  
1729 pregnancy.  
1730

1731 **Box 4: Artemisinin**

1732 Artemisinin (also known as qinghaosu in China) is extracted from the leaves of the *Artemisia annua*  
1733 plant.

1734 Youyou Tu was recognized by the 2015 Nobel Prize committee for her contribution to  
1735 medicine for the discovery of artemisinin, by retrieving and following instructions from ancient  
1736 Chinese texts<sup>250</sup>. Thanks to the ability of artemisinin to rapidly reduce parasitemia and fever,  
1737 the effect that artemisinin and its derivatives had on the management of malaria cannot be  
1738 overstated: since their introduction in the 1970s and subsequent wider implementation, which  
1739 was possible particularly owing to the work of Prof. Nicholas White and colleagues<sup>251-254</sup>,  
1740 millions of lives were saved. These drugs appear to be activated by heme derived iron and their  
1741 toxicity is probably mediated through the formation of reactive oxidative radicals<sup>43</sup>. Data suggest  
1742 that they interfere with phosphatidylinositol-3-phosphate (PI3P) metabolism (which is thought to  
1743 be involved in the trafficking of haemoglobin to the digestive vacuole<sup>255</sup>) and provide possible  
1744 mechanistic insight into the nature of clinically observed artemisinin resistance<sup>256</sup>.



1745 Chemical structure of artemisinin  
1746

1747

1748 **Figure 1: *Plasmodium* life cycle.** The mosquito vector transmits the *Plasmodium* parasite in  
1749 the sporozoite stage to the host during a blood meal. Sporozoites invade liver cells, where they  
1750 replicate and divide as merozoites. The infected liver cell ruptures, releasing the merozoites into  
1751 the blood stream, where they invade red blood cells and begin the asexual reproductive stage,  
1752 which is the symptomatic stage of the disease. Symptoms develop 4-8 days after the initial red  
1753 blood cell invasion. The replication cycle of the merozoites within the red blood cells lasts 36-72  
1754 hours (from red blood cell invasion to haemolysis). Thus, in synchronous infections (infections  
1755 that originate from a single infectious bite), fever occurs every 36-72 hours, when the infected  
1756 red blood cells lyse and release endotoxins *en masse*<sup>71-73</sup>. *P. vivax* and *P. ovale* can also form a  
1757 dormant state in the liver, the hypnozoite. Merozoites released from red blood cells can invade  
1758 other red blood cells and continue to replicate or, in some cases, they differentiate into male or  
1759 female gametocytes<sup>4,5</sup>. The transcription factor AP2-G has been shown to regulate the  
1760 commitment to gametocytogenesis. Gametocytes concentrate in skin capillaries and are then  
1761 taken up by the mosquito vector in a blood meal. In the gut of the mosquito, each male  
1762 gametocyte produces eight microgametes after three rounds of mitosis; the female gametocyte  
1763 matures into a macrogamete. Male microgametes are motile forms with flagellae and seek the  
1764 female macrogamete. Once in the mosquito male and female gametocytes fuse, forming a  
1765 diploid zygote, which elongates into an ookinete, a motile form that exits from the lumen of the  
1766 gut across the epithelium<sup>257</sup> as an oocyst. These undergo cycles of replication, and form  
1767 sporozoites, which move from the abdomen of the mosquito to the salivary glands. Thus, 7-10  
1768 days after the mosquito feeds on blood containing gametocytes, it is armed and able to infect  
1769 another human with *Plasmodium* with her bite. Drugs that prevent *Plasmodium* invasion or  
1770 proliferation in the liver have prophylactic activity, drugs that block the red blood cell stage are  
1771 required for treatment of the symptomatic phase of the disease and compounds that inhibit the

1772 formation of gametocytes or their development in the mosquito (including drugs that kill  
1773 mosquitoes) are transmission-blocking agents. The Figure is modified from <sup>258</sup>

1774 \*this can be delayed by months or years in case of hypnozoites

1775 ‡ until symptoms

1776 § differs by species

1777 || highly temperature dependent

1778

1779 **Figure 2: Map of malaria endemic regions** (adapted from the 2015 WHO World Malaria report)<sup>16</sup>The

1780 most deadly malaria parasite, *P. falciparum*, is only found in tropical areas because its

1781 gametocytes requires 10-18 days at a temperature of > 21°C to mate and mature into infectious

1782 sporozoites inside the vector<sup>259</sup>. This development timeline is possible in hot, tropical conditions

1783 only; where the ambient temperature is lower, mosquitoes can still propagate, but sporozoite

1784 maturation is slowed down and, therefore, incomplete, and parasites perish without progeny

1785 when the mosquitoes die. Thus, *P. falciparum* is quite temperature-sensitive; a global

1786 temperature rise of 2-3° C might result in an additional 5% of the world population (that is,

1787 several hundred million people) being exposed to malaria.<sup>260</sup> Of note, *P. vivax* and *P. ovale* can

1788 develop in mosquitoes at ambient temperature as low as 16°C, The ability to propagate at sub-

1789 tropical temperatures and to remain in hypnozoite state in the liver likely explain the broader

1790 global distribution of these parasites and their ability to elude elimination during the cold season

1791 in temperate zones<sup>261</sup>. Countries coded 'not applicable' were not separately surveyed.

1792

1793 **Figure 3: Parasite entry and replication within the red blood cells**

1794 Invasion occurs in a multi-step process.<sup>262</sup> During preinvasion, low-affinity contacts are

1795 formed with the red blood cell membrane. Reorientation of the merozoite is necessary to allow

1796 close contact between parasite ligands and host cell receptors, and this is then followed by tight

1797 junction formation. In *Plasmodium falciparum*, a forward genetic screen showed that

1798 complement decay-accelerating factor (CD55) on the host red blood cell was essential for  
1799 invasion of all *P. falciparum* strains<sup>263</sup>. The interaction of a complex of *P. falciparum* proteins (*P.*  
1800 *falciparum* reticulocyte-binding protein homolog 5 (*PfRh5*), *P. falciparum* RH5-interacting protein  
1801 (*PfRipr*) and cysteine-rich protective antigen (*CyRPA*)) with basigin on the red blood cell surface  
1802 is also essential for invasion in all strains<sup>264,265</sup>. *PfRh5* has been studied as a potential vaccine  
1803 candidate<sup>47</sup> and antibodies against basigin have been considered as a potential therapeutic  
1804 strategy<sup>266</sup>. With the *PfRh5/PfRipr/CyRPA*-basigin binding step, an opening forms between the  
1805 parasite and the red blood cell, which triggers  $\text{Ca}^{2+}$  release and enables parasite released  
1806 proteins to be inserted into the red blood cell membrane. These proteins are secreted from the  
1807 micronemes (the smallest secretory organelles that cluster at the apical end of the merozoite)  
1808 and the neck of the rhoptries and include Rhoptry neck protein 2 (*RON2*). Binding between  
1809 *RON2* and apical membrane antigen 1 (*AMA1*) proteins on the merozoite surface is required to  
1810 mediate tight junction formation prior to the internalization process<sup>267</sup>, and *AMA1* is also being  
1811 evaluated as a vaccine candidate<sup>268</sup>. Parasite replication within the red blood cell requires the  
1812 synthesis of DNA, which can be blocked by several antimalarials: pyrimethamine (*PYR*), *P218*  
1813 and cycloguanil target *Plasmodium* dihydrofolate reductase (*PfDHFR*)<sup>269</sup> and atovaquone  
1814 (*ATO*) blocks pyrimidine biosynthesis by inhibiting *Plasmodium* cytochrome b mitochondrial  
1815 gene (*Pfcytb*) and preventing the formation of oxidized Coenzyme Q, which is needed for the  
1816 pyrimidine biosynthetic enzyme dihydroorotate dehydrogenase (*PfDHODH*) to perform its  
1817 reaction within the mitochondria<sup>51</sup>. The Phase II clinical candidate *DSM265* also blocks  
1818 pyrimidine biosynthesis by directly inhibiting *PfDHODH*<sup>189</sup>. Besides DNA synthesis, other  
1819 processes can be targeted by antimalarial drugs.

1820 Chloroquine (*CHQ*) inhibits heme polymerization in the food vacuole<sup>53</sup>, but can be  
1821 expelled from this compartment by the *Plasmodium* chloroquine-resistance transporter  
1822 (*PfCRQ*)<sup>270</sup>. The Phase II clinical candidate *Cipargamin* and preclinical candidate *SJ733* both

1823 inhibit PfATP4, which is required for Na<sup>+</sup> homeostasis during nutrient acquisition<sup>58,186,187</sup>. The  
1824 Phase I clinical candidate MMV048<sup>194</sup> inhibits phosphatidylinositol-4 kinase (PI(4)K), which is  
1825 needed for the generation of transport vesicles that are needed to promote membrane  
1826 alterations during ingress ion<sup>59</sup>.

1827

1828 **Figure 4: Microscopic images of parasite-infected red blood cells.** Thin blood films showing A. *P*  
1829 *falciparum* and B. *P. vivax* at different stages of blood stage development. ER, early ring stage;  
1830 LR, late ring stage; ET, early trophozoite; LT, Late trophozoite stage; ES, early schizont stage;  
1831 LS, late schizont; FM, free merozoites; U, uninfected red blood cell. Gender Symbols represent  
1832 microgamete (Male symbol) and Macrogamete (Female symbol) Images (100x Oil immersion)  
1833 from Methanol fixed Thin Films stained for 30 minutes in 5% Giemsa. Samples taken from Thai  
1834 and Karen malaria patients: Ethical Review Committee for Research in Human Subjects,  
1835 Ministry of Public Health, Thailand (reference no. 4/2549, 6 February 2006). Slides used from a  
1836 previously published study<sup>271</sup>, provided by Alice-Roza Eruera and Bruce Russell (University of  
1837 Otago)

1838

1839 **Figure 5. Global pipeline for malaria vector control.** The categories of compounds currently  
1840 under research are defined in the first column on the left; compounds belonging to these  
1841 categories have advanced to Phase I trials or later stages. New screening hits (developed by  
1842 Syngenta, Bayer and Sumitomo/IVCC) are at early research stages and not expected to be  
1843 deployed until 2020-2022. Similarly, species-specific, biological control of mosquitoes  
1844 approaches are not expected to move forward before 2025. Key. AI: active ingredient; IRS,  
1845 indoor residual spray; IVCC: Innovative Vector Control; LLIRS, long-lasting indoor residual  
1846 spray; LLITN: long-lasting insecticidal mosquito net, LLN, long-lasting net: LSHTM, London  
1847 School of Hygiene and Tropical Medicine; PAMVERC, Pan-African Malaria Vector Research

1848 Consortium; <sup>a</sup>clothianidin and chlorfenapyr. The main data source was the Innovative Vector  
1849 Control Consortium, for the latest updates visit [www.ivcc.com/](http://www.ivcc.com/); note that not all compounds  
1850 listed are shown here. Dates reflect expected deployment.

1851

1852 **Figure 6: Global pipeline for malaria vaccines.**

1853 Key. AMANET, African Malaria Network Trust; ASH, Albert Schweitzer Hospital; CHUV, Centre  
1854 Hospitalier Universitaire Vaudois; CNRFP, Centre National de Recherche et de Formation sur le  
1855 Paludisme; ee, elimination eradication; EVI: European Vaccine Initiative; FhCMB : Fraunhofer  
1856 Center for Molecular Biotechnology, USA; GSK: Glaxo SmithKline; IP, Institut Pasteur;  
1857 INSERM: Institut national de la santé et de la recherche médicale, France; JHU: Johns Hopkins  
1858 University; KCMC: Kilimanjaro Christian Medical College, Tanzania; KMRI, Kenyan Medical  
1859 Research Institute; LSHTM, London School of Hygiene and Tropical Medicine; LMIV,  
1860 Laboratory of Malaria Immunology and Vaccinology; MRCG, Medical Research Council (The  
1861 Gambia); NIAID: National Institute of Allergy and Infectious Diseases, USA; NHRC, Navrongo  
1862 Health Research Centre; NIMR, National Institute for Medical Research; NMRC: Naval Medical  
1863 Research Center; MUK, Makerere University Kampala ; pp, pediatric prevention; SST, Statens  
1864 Serum Institut; U.: University; UCAP, Université Cheikh Anta Diop ; UKT, Institute of Tropical  
1865 Medicine, University of Tübingen; USAMMRC: US Army Medical Research and Materiel  
1866 Command; WEHI: Walter and Eliza Hall Inst. of Medical Research; WRAIR, Walter Reed Army  
1867 Institute of Research. Main source: WHO 'Rainbow Tables'<sup>272</sup> Not all vaccines under  
1868 development are listed here.\*Pending review or approval by WHO pre-qualification, or by  
1869 regulatory bodies who are ICH members or observers; <sup>†</sup>Sponsors for late-stage clinical trials.

1870

1871

1872 **Figure 7: Global pipeline for antimalarial drugs showing current product profiles.** A. Preclinical  
1873 candidates. B. Compounds or compound combinations in clinical Development. The multitude of  
1874 molecules targeting only asexual blood stages reflects the fact that many of these compounds are at an early  
1875 stage of development, and further assessment of their target candidate profile is still on going. KAF156 and  
1876 KAE609 were discovered in a multi-party collaboration between Novartis Institute for Tropical Disease,  
1877 Genomics Institute of the Novartis Research Foundation, Swiss Tropical & Public Health Institute,  
1878 Biomedical Primate Research Centre, Wellcome Trust and MMV. DSM was discovered by a collaboration  
1879 involving University of Texas Southwestern, University of Washington, Monash University, GSK and  
1880 MMV. MMV048 was discovered through a collaboration involving University of Cape Town, Swiss  
1881 Tropical and Public Health Institute, Monash University, Syngene and MMV. SJ733 was discovered in a  
1882 collaboration involving St Jude Children's Research Hospital, Rutgers University, Monash University and  
1883 MMV. Note that not all compounds are listed here and updates can be found at [www.mmv.org](http://www.mmv.org).

1884 <sup>a</sup>3-day cure, artemisinin-based combination therapy

1885 <sup>b</sup>Part of a combination aiming at a new single-exposure radical cure (TPP-1)

1886 <sup>c</sup>Severe malaria and pre-referral treatment

1887 <sup>d</sup>Product targeting prevention of relapse for *P. vivax*

1888

1889 **Figure 8. Chemical structures of novel non-artemisinin based compounds in clinical**  
1890 **development.**

1891 Key. MMV, Medicines for malaria venture; GSK: Glaxo SmithKline; CDRI, Central Drug Research Institute;  
1892 UCT, University of Cape Town

1893 <sup>a</sup>3-day cure, artemisinin-based combination therapy

1894 <sup>b</sup>Part of a combination aiming at a new single-exposure radical cure (TPP-1)

1895 <sup>c</sup>Product targeting prevention of relapse for *P. vivax*

1896 See [www.mmv.org](http://www.mmv.org) for updates

1897

1898 **Table 1:** The artemisinin-based combination therapies within the portfolio of Medicines for  
 1899 Malaria Venture\*

1900

Drug combination	Oral formulation (adults, children)	Number of patients treated (million)	Number of countries where approved	Brand name (manufacturer)	Regulatory body (approval date)
Artesunate Amodiaquine Winthrop	Oral formulation , dispersible	>400	33	ASAQ Winthrop (Sanofi, DNDi and MMV)	WHO (2008)
Artemether- Lumefantrine	Oral formulation , dispersible	>300	>50	Coartem D® (Novartis and MMV)	Swiss Medic (2008), FDA (2012)
DHA- Piperaquine	Coated tablets, dispersible <sup>‡</sup>	2	11	Eurartesim®, (Sigma Tau and MMV)	EMA (2011); Prequalification (2015)
Artesunate- Pyronaridine	Oral formulation, granules	Pending inclusion on Standard treatment guidelines	20	Pyramax®, (Shin Poong and MMV)	EMA Article 58 and WHO Prequalification (2012) then positive opinion(2015) for granules and multiple use
Artesunate- Mefloquine	granules	N/A	10	No brand name (Farmanguinhos, Fiocruz, DNDi, Cipla and MMV)	Cipla WHO Prequalified (2012) Farmanguinhos Pending

1901 \*In general, artemisinin-based combination therapies target all *Plasmodium* species.

1902 <sup>‡</sup>paediatric formulation to be submitted

1903 MMV; www.mmv.org. FDA: (US) Food and Drug Administration; DHA, dihydroartemisinin; DNDi : Drugs  
 1904 for Neglected Diseases *initiative*; EMA: European Medicines Agency. N/A, not available

1905

**Table 2.** Drug resistance markers to clinically approved anti-malarial agents.

Drug	<i>P. falciparum</i> Resistance Marker (gene, protein; PlasmoDB gene ID)	Protein function	Geography and resistance reports
Artemisinin derivatives	<i>K13</i> , Kelch protein <i>K13</i> ; PF3D7_1343700	Scaffold protein may be involved in maintaining PI3P (phosphatidylinositol-3-phosphate) levels <sup>256</sup>	Greater Mekong subregion <sup>46,273-276</sup>
Lumefantrine	<i>Mdr1</i> , multidrug resistance protein 1; PF3D7_0523000	ATP dependent drug efflux pump from the ABC transporter B family <sup>270,277,278</sup>	Reports of polymorphisms Uganda, Tanzania, but no robust evidence of resistance <sup>279-281</sup>
Amodiaquine	<i>Crt</i> , chloroquine-resistance transporter and <i>Mdr1</i> ; Pf3D7_0709000 and PF3D7_0523000	drug metabolite/transporter superfamily of electrochemical potential-driven transporters <sup>282</sup>	Africa, Asia <sup>280,283</sup>
Mefloquine	<i>Mdr1</i> ; PF3D7_0523000	drug metabolite/transporter superfamily of	Greater Mekong subregion <sup>284-286</sup>

		electrochemical potential-driven transporters <sup>282</sup>	
Piperaquine	<i>HAP</i> ; Plasmeppsins II and III; <i>exo</i> , putative exonuclease gene PF3D7_1408000, PF3D7_1408100 and and PF3D7_1362500	Food vacuole histo- aspartic proteases <sup>287</sup> ; putative exonuclease gene <sup>171,276</sup>	Greater Mekong subregion <sup>171,276,288</sup>
Pyronaridine	None reported	N/A	No robust reports

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1910

1911 Subject categories:

1912 [Health sciences / Diseases / Infectious diseases / Malaria](#)

1913 [URI /692/699/255/1629]

1914 [Biological sciences / Immunology / Infectious diseases / Malaria](#)

1915 [URI /631/250/255/1629]

1916 [Health sciences / Health care / Public health](#)

1917 [URI /692/700/478]

1918 [Biological sciences / Microbiology / Antimicrobials / Antimicrobial resistance](#)

1919 [URI /631/326/22/1434]

1920

1921 **ToC blurb**

1922 Malaria is a mosquito-transmitted infection that affects over 200 million people

1923 worldwide, with the highest morbidity and mortality in Africa. Eradication, through

1924 vector-control approaches and chemoprevention, is within reach, but threatened

1925 by the emergence of drug-resistant strains of mosquitoes and *Plasmodium*, the

1926 infectious parasite